

恒生銀行有限公司

關係申報的注意事項

1. 「親屬」指：

- 父母、祖父母或外祖父母、或曾祖父母或外曾祖父母；
- 繼父母或領養父母；
- 兄弟或姊妹；
- 配偶，或其父母、繼父母或領養父母、兄弟或姐妹；
- 如該人是夫妻關係的一方，該關係中的另一方；
- 同居伴侶；
- 子、繼子、女、繼女或領養子女；或
- 孫或孫女、外孫或外孫女、曾孫或外曾孫、或曾孫女或外曾孫女。

就這定義而言：

「領養」指以香港法律所承認的方式領養；

「同居伴侶」就與另一自然人有同居關係的某自然人而言，指該另一自然人；

「同居關係」指作為情侶在親密關係下共同生活的2名自然人(不論同性或異性)之間的關係；

「夫妻關係的一方」就夫妻關係而言，指該關係中的男方或女方；及

「夫妻關係」指由男方與女方在1971年10月7日前締結的夫妻關係，而在該關係下，女方於男方在生時已被其妻子接納為男方的妾，而男方家人亦普遍承認如此。

2. 「僱員」包括全職，兼職，短期合約或 short-term assignee, international secondee, international manager and international contract executive。

3. 「控權人」指任何下列人士：

- (i) 間接控權人：就任何公司而言，指所發出的指示或指令獲得該公司的董事、或以該公司為附屬公司的另一間公司的董事慣常按照行事的任何人；或
- (ii) 大股東控權人：就任何公司而言，指在該公司的任何大會上，或在以該公司為附屬公司的另一間公司的任何大會上，單獨或連同任何一名或多於一名相聯者有權行使超過 50% 表決權或有權控制超過 50% 表決權的行使的任何人。

4. 某公司的「小股東控權人」指在該公司的任何大會上，或在以該公司為附屬公司的另一間公司的任何大會上，單獨或連同任何一名或多於一名相聯者有權行使不少於 10% 但不超過 50% 表決權或有權控制不少於 10% 但不超過 50% 表決權的行使的任何人。

Hang Seng Bank Limited

NOTES RELATING TO THE RELATIONSHIP DECLARATION

1. “**Relative**” means:
 - a parent, grandparent or great grandparent;
 - a step-parent or adoptive parent;
 - a brother or sister;
 - the spouse, or his/her parent, step-parent or adoptive parent, brother or sister;
 - if the individual is a party to a union of concubinage, the other party of the union;
 - a cohabitee;
 - a son, step-son or adopted son, daughter, step-daughter or adopted daughter; or
 - a grandson, granddaughter, great grandson or great granddaughter.

For the purpose of this definition:

“adopted” means adopted in a manner recognized by the laws of Hong Kong;

“cohabitee”, in relation to a natural person who is in a cohabitation relationship with another natural person, means the other natural person;

“cohabitation relationship” means a relationship between 2 natural persons (whether of the same sex or of the opposite sex) who live together as a couple in an intimate relationship;

“party to a union of concubinage”, in relation to a union of concubinage, means the male partner or the female partner of the union; and

“union of concubinage” means a union of concubinage entered into by a male partner and a female partner before 7 October 1971, under which union the female partner has, during the lifetime of the male partner, been accepted by his wife as his concubine and recognized as such by his family generally.

2. “**Employee**” includes full-time staff, part-time staff, temporary staff, short-term assignee, international secondees, international manager and international contract executive.
3. A “**controller**” means any person who is:
 - (i) an indirect controller (i.e. in relation to a company, any person in accordance with whose directions or instructions the directors of the company or of another company of which it is a subsidiary are accustomed to act; or
 - (ii) a majority shareholder controller (i.e. in relation to a company, any person who, either alone or with any associate or associates, is entitled to exercise, or control the exercise of, more than 50% of the voting power at any general meeting of the company or of another company of which it is a subsidiary).
4. A person is a “**minority shareholder controller**” of a company, if such person, either alone or with any associate or associates, is entitled to exercise, or control the exercise of, 10% or more, but not more than 50%, of the voting power at any general meeting of the company or of another company of which it is a subsidiary.