

證券交收指示表格
Securities Settlement Instruction Form

致：恒生銀行有限公司(「本行」)
To: Hang Seng Bank Limited ("the Bank")

請用正楷填寫，並在適當方格內加上“√”。
Please complete in BLOCK LETTERS and “√” where appropriate.

日期(日/月/年)
Date(DD/MM/YY)

甲部 Part A – 戶口資料 Account Information			
恒生銀行有限公司中央結算編號 Hang Seng Bank Limited CCASS Participant ID	C00018	電話 Tel No	8100 5257
客戶證券戶口號碼 Customer SEC A/C No.	-	客戶聯絡電話 Customer Telephone Number	
證券戶口持有人姓名 Name(s) of SEC A/C Holder(s)			
交收對手名稱(例如：銀行/證券行) ¹ Name of Counterparty (e.g. Bank/Broker) ¹		交收對手所在國家 Country of Counterparty	
交收對手中央結算編號 Counterparty CCASS Participant ID		客戶於交收對手證券戶口號碼 Customer SEC A/C No. with Counterparty	
交收對手聯絡人及電話號碼 Counterparty Contact Person & Tel No.			

乙部 Part B – 證券交收指示 Securities Settlement Instruction
<input type="checkbox"/> 請安排 收取 下列證券並存入本人(等)之證券戶口。本人確認 該等證券之實益持有人並無轉變 。 Please arrange to RECEIVE the following securities for my/our securities account. I confirm no change of beneficiary ownership involved for these securities .
<input type="checkbox"/> 請由本人(等)之證券戶口 提取 下列證券並安排交付。本人確認 該等證券之實益持有人並無轉變 。 Please arrange to DELIVER the following securities from my/our securities account. I confirm no change of beneficiary ownership involved for these securities .
是次收取或提取原因 Reason of Receive or Deliver : _____
本人(等)現確認本人(等)明白並同意： I/We confirm that I/we understand and agree to:
1) 規限本行的證券服務及本人(等)之證券戶口之條款及細則，及本行的證券服務收費。相關服務收費(如有)將於上述證券戶口之結算戶口自動扣取；及 the terms and conditions governing the Bank's securities services and my/our securities account and the Bank's securities services charges. Relevant services charges (if any) will be debited from the settlement account of the above mentioned SEC A/C automatically; and
2) 本行並不曾就此股票調撥指示提供意見或建議(只適用於個人客戶，不包括私人銀行客戶)。 the Bank did not provide advice or recommendation on this transfer instruction of stock(s) (only applicable to Personal Customers, excluding Private Banking Customers).

證券編號 ² Securities Code ²	證券名稱 ² Name of Securities ²	股數 ² Quantity of Securities ²	交收日期(日/月/年) Settlement Date(DD/MM/YY) (如適用 If applicable)

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丙部 Part C — 交收款項指示 Payment Instruction

- 交收金額為 **港幣** _____ 請通過中央交收及結算系統繳付/收取(如屬收取款項者,本人(等)明瞭並同意若證券已根據中央交收及結算系統之有關規例交付予有關經紀,而該筆款項最終被對方付款銀行拒絕支付,本行不會為所引起之損失承擔任何責任。)
Pay/Collect the sum of **HK\$** _____ through Electronic Payment Instruction generated by CCASS (I/We understand and agree that for collecting payment, if the relevant amount is eventually rejected by the paying bank of the counterparty but the securities have been delivered to the receiving agent in accordance with the relevant rules of CCASS, the Bank shall not be liable for any resulting loss.)
- 不需交收款項,只收取/交付證券。
Free of Payment, receive/deliver securities only.

- 註 1. 請同時通知上述的交易對手有關上述證券交收指示,並留意交收對手可能收取相關手續費(如有)。
Note: Please notify the above Counterparty the above-mentioned securities settlement instruction and note that Counterparty may collect relevant handling fees (if any).
2. 若證券交收指示須同時通過中央交收及結算系統繳付/收取款項,則每張SEC220表格只可填寫一隻股票交收指示。
If the securities settlement instruction is required to pay/collect funds through Electronic Payment instruction of CCASS, only one stock settlement instruction can be filled for each SEC220 form.
3. 如欲即日完成交收程序,務必於**交收當日上午十時前**填妥此文件交付本行財富服務。
Instructions for same day settlement must reach Wealth Services **before 10:00a.m. on the settlement day.**
4. 恒生銀行不接受存入透過「人證港幣交易通」購入之人民幣證券。
Hang Seng Bank does not accept Receipt Instructions for Securities bought via RMB Equity Trading Support Facility.

戶口持有人簽署
Signature(s) of Account Holder(s)

X _____
請用留存本行之印鑑簽署
Please use signature(s)/chop(s) filed with the Bank

Officer
S.V.

銀行專用 For Bank Use

For Branch	For IOD	
Branch Code	Ref No.:	
	S231/S251:	S9231/S9251:
Handling Staff Name	S232/S252:	
	S6121/C771:	O.K. Release:
Contact Tel.	Credit Control	Limit Hold (HK\$):
		Associated Cash A/C:
	S235/S255:	S9235/S9255:
	SI Settlement Confirmed:	S6492:

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